



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,193	03/05/2002	H. H. Chen	YOR91999-0420-US2

## CONFIRMATION NO. 6354

## FORMALITIES LETTER



\*OC00000007915258\*

Anne Vachon Dougherty  
 3173 Cedar Road  
 Yorktown Heights, NY 10598

Date Mailed: 04/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice MUST be returned with the reply.*

*H. H. Chen*  
 Customer Service Center

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : June 10, 2002  
H.H. Chen, et al : Group Art No.: 2811  
Serial No. 10/091,193 : Examiner: O. Nadav  
Filed: March 5, 2002 : for IBM Corporation  
Title: SEMICONDUCTOR HIGH  
DIELECTRIC CONSTANT  
DECOUPLING CAPACITOR  
STRUCTURES AND PROCESS  
FOR FABRICATION  
3173 Cedar Road  
Yorktown Heights, NY 10598

DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to  
Deposit Account No. 50-0510:

Fee for filing Missing Parts of Application (37 CFR 1.16(e))  
in the amount of \$130.00.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty  
Name of authorized party

Anne Vachon Dougherty  
Signature of authorized party

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Yorktown Heights, NY 10598

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Substitute Drawings

Acknowledgment Postcard

is being deposited with the United States Postal Service as first  
class mail in an envelope addressed to:

**Commissioner of Patents  
Washington, DC 20231**

on June 10, 2002.

Anne Vachon Dougherty  
*Anne Vachon Dougherty*  
Signature of person mailing

YOR919990420-US2